

they do not show symptoms of the disease.

At this time FDA, CDC, and NIH are not aware of any cases of vCJD having been reported worldwide in patients receiving plasma-derived clotting factors, including pdFXI. This includes patients who have received, over a long period of time, large amounts of clotting factor products manufactured from plasma donations from the UK, where the risk of vCJD is highest.

**Q. Why did FDA do a vCJD risk assessment for pdFXI made from UK plasma?**

A. We conducted a risk assessment on pdFXI because it was made from plasma obtained from donors in the UK. The UK population, including UK plasma donors, is at a considerably higher risk for vCJD than the US population due to eating food potentially contaminated with the BSE agent, although the estimates of risk vary widely. We believe that pdFXI is the only plasma product used in the US that was manufactured from UK donor plasma collected during the BSE epidemic. Note, however, that plasma pools used to manufacture the pdFXI product infused in the US did not contain donations from individuals known to have developed vCJD (that is, there were no known "implicated" lots).

**Q. Why is FDA informing patients, healthcare providers, and the public about vCJD and pdFXI now?**

A. The FDA has recently completed its risk assessment, and we think it is important that a person who received pdFXI be aware of the results of the risk assessment and have an opportunity to discuss any questions with a suitable health care provider.

The first case of probable vCJD infection transmitted by transfusion of red blood cells in the UK was reported in December 2003 and the second case in July 2004. These events prompted UK authorities in 2004, to communicate the potential risk of vCJD to recipients of clotting factors and some other plasma derived products. FDA initiated its risk assessment for pdFXI in 2004, and presented a draft to the Transmissible Spongiform Encephalopathies Advisory Committee (TSEAC) in February 2005 ([draft risk assessment, meeting transcript and slides](#)). Since then FDA, with scientific advice from the TSEAC in October 2005, and other experts, has further refined the risk assessment and risk communication materials. [Results of this extensive analysis are now available.](#)

FDA, CDC, NIH, and the Office of Public Health and Science (OPHS) of the US Department of Health and Human Services, with advice from patient advocacy groups and communication experts, have now developed key message points and communication materials to accurately convey the possible risk to patients, health care providers, and others who may have an interest.

**Q. Should patients inform their primary health care providers about a possible vCJD exposure from UK pdFXI?**

A. Advising your primary health care provider (e.g., a family physician, internist, blood disease specialist, etc.) about your history of having received the pdFXI product might be beneficial in that your provider can keep you informed about new information as it becomes available, interpret its significance, and advise you about further appropriate actions in the future. However, sharing your personal health information is your choice.

**Q. Do patients who received UK pdFXI need to do anything special when seeking dental or surgical care?**

A. At this time, the US PHS does not believe that UK pdFXI recipients need to inform their surgeons or dentists about the potential exposure to vCJD. Also, the US PHS does not recommend that surgeons and dentists take any special precautions with patients who had such potential exposures. This belief is based on the very large degree of uncertainty in the FDA risk assessment, and the lack of known cases of vCJD transmitted by plasma-derived clotting factor products in the UK, where risk is considered greatest, or anywhere else in the world. Also, there were relatively few patients exposed to the pdFXI product in the US compared to the large number of recipients of plasma-derived clotting factors, of which pdFXI is only one of many, in the UK.

In the UK, public health authorities notified recipients of plasma-derived products, such as pdFXI, that they may have an increased risk of vCJD in addition to their risk from eating potentially contaminated UK beef products. The UK health authorities asked patients to inform their surgeons and dentists about their potential exposure as a public health precaution intended to prevent possible secondary spread of the disease from dental and surgical instruments. The US PHS, including the FDA, CDC, and NIH, does not believe that such notifications are necessary in the US. This belief is based on the very large degree of uncertainty in the FDA risk assessment, and on the lack of known cases of vCJD transmitted by plasma-derived clotting factor products in the UK or anywhere else in the world. Given this information, the PHS believes that there is no need to alter the standard current practices.

PHS agencies will continue to monitor and reevaluate the situation as new information becomes available.

**Q. What can recipients of pdFXI do with this information?**

A. While no new actions are recommended now, you can stay informed by keeping in contact with your primary physician and/or a specialist in bleeding disorders, such as a hemophilia specialist at a Hemophilia Treatment Center. Such contact will help you to learn about any new scientific advances in this field such as testing and diagnosis, and also to monitor your general health.

**Q. What are Hemophilia Treatment Centers, and where can I find out about them?**

A. Hemophilia Treatment Centers (HTC) are a network of federally funded comprehensive care clinics that promote the management, treatment, and prevention of complications experienced by persons with hemophilia



and other hereditary bleeding disorders.

You can find information about HTC's at:

1. CDC informational posting, containing information about the kinds of services provided by federally funded HTC's
2. CDC's directory of federally-funded HTC's
3. Regional HTC websites are also a good place for information

**Q. Where can I find more information about vCJD and pdFXI?**

A. You can find additional information at:

**FDA**

1. FDA informational posting, containing current pdFVIII risk assessment, fact sheet, and briefing materials
2. Blood Products Advisory Committee meeting – summary of recent TSEAC meeting and statement about pdFXI from the UK, on October 21, 2006
3. TSEAC meeting with discussion of first pdFXI draft risk assessment, on February 8, 2005, and discussion of UK risk communication for plasma derivatives
4. TSEAC Meeting with further discussion of the FDA risk assessment model, October 31, 2005
5. TSEAC Meeting with update on pdFXI risk assessment, September 18, 2006

**CDC: vCJD (Variant Creutzfeldt–Jakob Disease)**

**Regional HTC websites**

**US Department of Agriculture: Bovine Spongiform Encephalopathy**

**Patient Organizations:**

Committee of Ten Thousand  
Hemophilia Federation of America  
National Hemophilia Foundation and/or HANDI  
World Federation of Hemophilia

Questions to FDA may be addressed through the Office of Communication, Training, and Manufacturers Assistance (OCTMA), at 1-800-835-4709, or [octma@cber.fda.gov](mailto:octma@cber.fda.gov).

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医薬品 研究報告 調査報告書

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一般的名称	-		研究報告の 公表状況	Transfusion clinique et biologique-journal de la Societe française de transfusion sanguine (France) Nov 2006, 13 (5) p320-8.	公表国		
販売名(企業名)	-				仏国		
研究報告の概要	<p>血漿分画製剤は、原材料がヒト由来であるため、変異型クロイツフェルト・ヤコブ病 (vCJD) を引き起こすプリオンを伝播する可能性があるが、血漿分画製剤によってプリオンが伝播したという報告はない。様々な国で実施されている対策 (BSE 発生国での滞在歴や輸血または組織移植を受けたことがある献血者の保留、白血球除去など) や、いくつかの製造工程が、血漿分画製剤によるプリオン伝播防止に貢献している可能性がある。脳由来の感染因子をスパイクする多数の実験的感染性試験により、いくつかの分画ステップ、特にエタノール分画、デプス・フィルトレーション (深層濾過)、イオン交換クロマトグラフィーは、プリオンを数 log 除去できることが実証された。更に、凝固因子や免疫グロブリン製剤からウイルスを除去するために使用されている 75 nm 以下の多層膜を使ったナノろ過は、サイズの排除とトラッピングメカニズムによって、スパイクしたプリオンを 3 ~ 5 log 以上除去することができる。これらのことから、血漿分画製剤による vCJD の伝染はほとんどないと思われるが、ヒト血液中における感染因子の生化学的性質についてはまだ分からないところがあるため、注意を怠るべきではない。</p>						使用上の注意記載状況・ その他参考事項等
	<p><b>重要な基本的注意</b> 現在までに本剤の投与により変異型クロイツフェルト・ヤコブ病 (vCJD) 等が伝播したとの報告はない。しかしながら、製造工程において異常プリオンを低減し得るとの報告があるものの、理論的な vCJD 等の伝播のリスクを完全には排除できないので、投与の際には患者への説明を十分行い、治療上の必要性を十分検討の上投与すること。</p>						
報告企業の意見			今後の対応				
血漿分画製剤からの vCJD 感染リスクに関する情報で、現時点まで血漿分画製剤からの vCJD 伝播の報告はないこと、血漿分画製剤の製造工程でプリオンが除去できるとの情報である。			今後とも vCJD に関する安全性情報等に留意していく。				

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Original article

## Current strategies to prevent transmission of prions by human plasma derivatives

### Mesures actuelles de prévention du risque de transmission de prions par les médicaments dérivés du plasma humain

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Protein products prepared from pooled human plasma are an essential class of therapeutics used mostly to control bleeding and/or immunological disorders. Because of the human origin of the starting material, there is a risk that these products may possibly transmit prions causing variant Creutzfeldt–Jakob disease (vCJD). No case of transmission of prions by plasma products has been observed. Case-by-case measures implemented in various countries, and several technical factors may contribute, to various degrees, to the prevention of the risk of transmission of prions by plasma products. Those measures include (a) the epidemiological surveillance of population in countries with cases of vCJD and/or bovine spongiform encephalopathies (BSE), (b) the deferral of blood donors who traveled or resided, for specific periods of time, to countries with BSE, or who received transfusion or tissue transplant, (c) the removal of leucocytes in plasma used for fractionation, and, last but not least, (d) the removal of the prion agents during the complex industrial fractionation process used to prepare plasma products. Numerous experimental infectivity studies, involving the spiking of brain-derived infectious materials, have demonstrated that several fractionation steps, in particular ethanol fractionation, depth filtration, and chromatography, can remove several logs of prions. Removal is explained by the distinct hydrophobic and aggregative properties of the prion proteins. In addition, nanofiltration using multi-layer membranes of 75 nm or smaller, which is commonly used for removing viruses from coagulation factors and immunoglobulins products, can remove more than 3–5 logs of spiked prions, presumably by size-exclusion and trapping mechanisms. Therefore, the risk of transmission of vCJD by human plasma products appears remote, but caution should prevail since the biochemical nature of the infectious agent in human blood is still unknown.

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**Résumé**

Les médicaments dérivés du plasma humain occupent une place thérapeutique essentielle en particulier dans le traitement de troubles hémorragiques ou immunologiques. Par l'origine humaine du plasma, ces produits sont une source possible d'infection par les agents transmissibles non conventionnels (ATNC ou prions), dont celui induisant la variante de la maladie de Creutzfeldt–Jakob (vMCJ). On n'a recensé toutefois à ce jour aucun cas de transmission de vMCJ par les produits plasmatiques industriels. Diverses mesures de précaution, mises en place au cas par cas dans différents pays, et des facteurs techniques paraissent prévenir les possibilités de transmission de ces agents infectieux par les médicaments dérivés du sang. Ils comprennent : (a) le contrôle épidémiologique de la population dans les pays où des cas de vMCJ et/ou d'encéphalopathie bovine spongiforme (EBS) ont été identifiés ; (b) l'exclusion des candidats donneurs de sang ayant voyagé ou séjourné pour une certaine période de temps dans des pays touchés par l'EBS, ou ayant été transfusés ou transplantés ; (c) la limitation du contenu du plasma en leucocytes ; et (d) l'élimination de la protéine prion pathologique au cours des étapes de fractionnement. De nombreuses études expérimentales, reposant le plus souvent sur des épreuves de surcharge par extraits de cerveaux d'animaux infectés par une souche d'ATNC, concourent à établir que diverses étapes de fractionnement, dont les précipitations en présence d'éthanol, les filtrations en profondeur, et les chromatographies, contribuent à une élimination importante des prions. Celle-ci paraît s'expliquer par les caractéristiques d'hydrophobicité et de tendance de l'agent infectieux à

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s'agréger. Par ailleurs, les étapes de nanofiltration sur des membranes multicouches d'une porosité de 75 nm ou moins, utilisées pour la sécurisation virale des facteurs de coagulation ou des immunoglobulines, retiennent, vraisemblablement par des mécanismes d'exclusion stérique et de piégeage, plus de trois à cinq logs de prions. Au regard de ces données expérimentales, le risque de transmission de vMCJ par les produits plasmatiques paraît très mince, mais la portée réelle de ces études reste incomplète tant que la nature de l'agent infectieux présent dans le sang ne sera pas pleinement élucidée.

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**Keywords:** Prions; Plasma products; Fractionation; PrP<sup>TSE</sup>; Safety; vCJD

**Mots clés :** Prions ; Agents transmissibles non conventionnels ; Produits plasmatiques ; Fractionnement ; PrP<sup>TSE</sup> ; Sécurité ; vCJD

## 1. Introduction

Human plasma is used for the manufacture of fractionated products called plasma products, plasma derivatives, or plasma-derived medicinal products. These products have no substitutes to treat various life-threatening congenital or acquired bleeding, thrombotic disorders, immunological deficiencies, tissues enzymatic degradations, and/or trauma. Plasma products are purified from pools of thousand liters of plasma. Production methods involve sophisticated purification procedures based on a series of precipitation, filtration, and chromatographic steps that constitute the plasma fractionation process [1]. As historical perspectives show, various transmissible infectious agents, most notably viruses, can contaminate human blood and be transmitted by industrial plasma products. It is now believed that the variant form of Creutzfeldt–Jakob disease (vCJD), a human disease thought to be primarily associated to the ingestion of food contaminated by the bovine spongiform encephalopathy (BSE) agent, may also be transmitted by transfusion of blood components [2]. Three human cases of transfusion transmitted prion infections (only two of which were symptomatic of vCJD) have been identified and ascribed to the infusion of non-leucoreduced red blood cell concentrates [3]. The third, pre- or sub-clinical, case was in an individual who was Met/Val heterozygous at codon 129 of the prion protein gene, suggesting that individuals with a genotypes other than Met–Met homozygous at residue 129 may also be infected [3,4].

As it is now apparent that vCJD can be transmitted by transfusion, concerns about the safety of pooled plasma derivatives have grown. A UK retrospective study on tissue samples suggests that more people than initially thought may be incubating the disease [5,6]. There is, so far, no evidence that vCJD has been transmitted by plasma derivatives. However, the possibility of long incubation period of the disease does not allow to draw definite conclusion on the absence of risks, and therefore preventative measures have been put in place in several countries. The purpose of this paper is to present the current strategies that are believed to restrict the risk of transmission of prions by industrial plasma products.

## 2. Preventative measures for the collection of plasma for fractionation

The occurrence of human-to-human transmission of vCJD by blood transfusion has alerted health regulatory authorities

on the importance of implementing a set of precautionary measures. Table 1 summarizes the current preventative measures that are in place against the risk of transmission of prions by plasma products. The approach largely follows, when possible, the one that has been developed successfully over the last 20 years to avoid the transmission of viruses. The strategy needed to prevent transmission of viruses requires (a) an accurate information on the structure of the infectious agents and their mode of transmission, (b) the epidemiological surveillance of the population, (c) the accurate screening of the donors, (d) the testing of the donations by sensitive and relevant assays, (e) the implementation of validated inactivation or removal procedures during product manufacturing, and (f) the application of good manufacturing practices (GMP) at all stages of the production chain [7,8]. As indicated in this publication, not all of these measures can be used to date to reduce the risks of transmission of prions by industrial plasma products.

### 2.1. Biophysical characteristics and resistance of PrP<sup>TSE</sup>

The physico-chemical properties of human blood-associated transmissible spongiform encephalopathy (TSE) agent are still unknown. The infectious agent is thought to be a misfolded, abnormal, prion protein, globally now referred to as PrP<sup>TSE</sup> because of increasing complexity in the terminology for various forms of the prion protein [4]. Pathological PrP<sup>TSE</sup> represents aberrantly folded isoforms of a normal cellular prion protein (PrP<sup>C</sup>) whose physiological function is still largely unknown. PrP<sup>C</sup> is a glycosyl phosphatidyl inositol-linked glycoprotein composed of approximately 256 amino acids, that undergoes facultative N-linked glycosylation at two sites [9, 10]. PrP<sup>TSE</sup> present  $\beta$ -sheet structure that tends to aggregate and which, in vitro, makes it insoluble in detergent solutions, resistant to enzymatic degradation, and prone to adhere to surfaces [11]. Recent experimental studies in scrapie-infected (263K strain) hamster brain suggest that prion particles have a size and molecular weight range of 5–90 nm, and 155–15,220  $\times 10^3$  kDa, respectively. Infectivity of this prion strain is highest in oligomers with apparent radii of 17–27 nm and a molecular weight of 300–600 kDa, and is apparently absent, or less, in large fibrils and in oligomers of  $\leq 5$  PrP molecules [12]. Non-fibrillar particles, with a mass equivalent to 14–28 PrP molecules, may be the most efficient initiators of TSE disease [12].



Table 1  
Points to consider and measures to prevent the transmission of viruses and prions by plasma-derived medicinal products

Points to consider/measures	HIV, HBV, HCV	HAV, B19	Prion agent
<i>Structural characteristics of the infectious agent</i>	Well characterized (enveloped, size, shape, genome, resistance)	Well characterized (non-enveloped, size, shape, genome, resistance)	Unknown for the infectious agent in plasma
<i>Infectious dose in human plasma</i>	Known (can reach several logs)	Known (can reach several logs)	Limited information (estimated to be low; 2–30 infectious doses per ml)
<i>Epidemiological information on prevalence, risk factors, and transmission modes</i>	Well established	Well established	Unknown
<i>Specific donor exclusion criteria</i>	Yes	No	Yes <sup>a</sup>
<i>Testing of individual donations for markers of the pathogenic agent</i>	Anti-HIV 1 and 2 Ab HCV Ab HBsAg	No	No (tests in development)
<i>Leucoreduction</i>	No	No	About 50% reduction in infectivity [27]
<i>Plasma pool testing</i>	Yes (e.g. nucleic acid test)	Yes (e.g. nucleic acid test)	No
<i>Dedicated inactivation steps</i>	Yes (e.g. SD treatment, pasteurization, low pH, caprylic acid, dry heat)	Yes/No	No
<i>Unspecific removal steps</i>	Yes (e.g. precipitation, chromatography) <sup>b</sup>	Yes (e.g. precipitation, chromatography) <sup>b</sup>	Yes? (e.g. precipitation, depth filtration, chromatography) <sup>c</sup>
<i>Dedicated robust removal steps</i>	Yes (nanofiltration)	Yes (nanofiltration)	Yes (nanofiltration)? <sup>c</sup>
<i>Final product testing to control markers of infectious risks</i>	No <sup>d</sup>	No <sup>d</sup>	No

<sup>a</sup> In some countries exclusion criteria includes travel to countries with BSE, and previous transfusion or transplantation (see text for details).

<sup>b</sup> Often not regarded as a robust removal step by most regulatory agencies due to the difficulty in proving consistent viral removal.

<sup>c</sup> This assumes that PrP<sup>TSE</sup> in plasma would behave the same as PrP<sup>TSE</sup> from brain homogenates, which is still unknown.

<sup>d</sup> Tests to detect the presence of viral markers in final products have not been validated and do not guarantee product safety.

Brain-associated forms of PrP<sup>TSE</sup> are resistant to the viral inactivation procedures used during the manufacture of human plasma products, such as solvent-detergent (SD), heat treatments, and low pH [1,13]. The methods known to inactivate PrP<sup>TSE</sup> (such as oxidation, treatment with strong base, chaotropic agents, extreme heat, strong sodium hypochlorite solutions or hot solutions of sodium hydroxide [14]) would denature plasma proteins and therefore cannot be used in plasma fractionation.

## 2.2. Prion infectious dose in plasma

There is only limited information on the infectious dose of prion protein in human blood. Estimates based on animal models suggest that prion protein infectivity in blood is low, possibly comprised between 2 and 30 infectious dose per ml during both the incubation and symptomatic stages of disease [15, 16]. Half of the infectivity appears associated with plasma [17]. Possibility of abnormal prion protein transmission by transfusion in humans corroborated earlier experimental evidence that the blood of infected rodents, cows, and sheep may transmit infectivity [18] in both the incubation period and clinical phase [4]. Experimental evidence of blood transfusion transmission in deers with chronic wasting disease has also been reported recently [19]. At the early stages of the incubation period, blood-associated PrP(Sc) may originate from peripheral replication of prions, whereas during the symptomatic phase, it may leak from the brain [20]. Therefore, it can not be excluded that the risk of infectivity varies during the various phases of the disease.

## 2.3. Epidemiology surveillance

Human exposures to the BSE agent appear primarily linked to (a) the prevalence of BSE in native and imported cattle population and (b) the risk of contamination of local and imported food by the BSE agent. vCJD appears limited geographically to countries where BSE has been identified but not much is known on BSE agent infectivity and on the minimal oral doses able to transmit vCJD to humans [4]. In addition, prion strains distinct from that causing BSE have recently been isolated from cattle, and found to induce lethal neurological disorders in a transgenic mice model, raising potential additional public health concerns [21]. Proportion of asymptomatic carrier may be higher than initially thought [5,6] but further data must be obtained. Countries are therefore encouraged to establish epidemiological surveillance systems and conduct systematic assessment of possible cases of BSE and vCJD to follow the prevalence and trends of the disease so that appropriate deferral measures can be taken on a timely manner, if needed [4].

## 2.4. Deferral of "at-risk" donors

Deferral criteria of donors presumed at risk of vCJD have been implemented in some countries following a careful assessment of the risk/benefit ratio, the consideration on the long incubation period of vCJD after oral exposure to BSE contaminated beef, and the impact on the supply of blood and plasma products. The rationale for some deferral measures is based on the mathematical probability of "recycling" vCJD infection via blood transfusion and plasma products, consider-



ing the assumed number of infected individuals in a given country. Several countries defer donors who visited or resided in the UK and other European countries where BSE cases have been found, for a cumulative period of 3 months or more between 1980 and 1996. To prevent secondary spread, previously transfused donors are also deferred in countries like France (since 1998), UK (since 2005), Ireland, the Netherlands, and Switzerland. Canada, Australia, Italy and the US are currently deferring donors previously transfused in a country where BSE or vCJD cases have been identified [4].

### 2.5. Testing

There is as yet no assay available to detect misfolded prion proteins in human blood, one technical difficulty being linked to the low level of prion protein in blood and the fact that the disease does not induce conventional immune response and has no nucleic acid associated markers. Several assays using different principles are under development [4,22] but so far data have not been reproduced by independent groups. It is not known when validated screening tests will be available for routine use in blood establishments. Possible implementation would raise a number of concerns including how to deal with the potential high number of false-positive results. It is also expected that two validated tests, one used for primary determination, the other as a confirmatory assay, would be required [22].

### 2.6. Leucoreduction

Limiting the leucocytes number in plasma for fractionation to less than  $10^6$  per l is currently the practice in countries like France, as part of a set of precautionary measures to reduce the risks of vCJD transmission by plasma products [23]. Such limit in leucocyte content can be met by using leucoreduction filters during blood or plasma preparation [24] or by collecting plasma using specific apheresis procedures that reduce leucocytes [25]. The rationale for limiting leukocyte contamination was based on the belief that lymphocytes play a crucial role in TSE pathogenicity [26]. However, leucofiltration of whole blood from hamsters infected with scrapie 263K (endogenous TSE model) was recently found to remove "only" 42–72% infectivity from plasma [17,22,27]. These findings appear consistent with the low reduction of infectivity found by leucofiltration of blood from mice infected with a mouse-adapted strain of human TSE [28]. In an exogenous model where scrapie 263K was used to spike human blood, filtration with four different whole blood leucoreduction filters did not remove significant PrP<sup>Sc</sup> [29]. However, it is still not known how much prion infectivity is removed when using apheresis procedures that reduce the leucocyte content to a range similar to that of whole blood passed through dedicated leucoreduction filters [25].

### 2.7. Prion removal filters

Currently, two CE-marked filters are commercially available for the capture of prions from red blood cell concentrates

[10,15], and a third one, that combines leucoreduction and prion reduction, is in development [30]. Coagulation factors have been found to bind on some of these filters. It is not known whether prion removal filters dedicated for the filtration of plasma for fractionation (or transfusion) will be developed and licensed. Use of prion reduction filters during the plasma fractionation process, rather than at the stage of plasma preparation, may be a more economical and rational approach.

## 3. Removal of prions during plasma fractionation

### 3.1. Plasma fractionation technology

Most plasma products are manufactured by an integrated technology encompassing cryoprecipitation, cold ethanol precipitation, filtration and chromatographic steps to achieve protein separation and polishing. Cryoprecipitation, the first step in the fractionation process, is a thawing of plasma at 2–4 °C that isolates a cold insoluble fraction (cryoprecipitate), used as a source of factor VIII (FVIII), von Willebrand factor (VWF), and fibrinogen, and a supernatant (cryo-poor plasma, or cryo-supernatant), which is the starting material of other proteins. The ethanol fractionation process—used for instance in albumin, IgG, alpha 1-antitrypsin separation—comprises precipitation steps at 8–40% ethanol concentrations, under defined conditions of pH, temperature, and osmolality [1]. Precipitates and supernatants are separated by centrifugation or filtration using filter aids and depth filters. Chromatography is used for protein separation and purification from the various intermediates, as well as for removal of the solvents and detergents used in viral inactivation procedures [1,31]. Common chromatographic methods include anion-exchange, cation-exchange, immobilized heparin affinity, and immunoaffinity. To date most albumin and IgG preparations are produced by a process largely based on the ethanol fractionation method, while the manufacture of most coagulation factors, protease inhibitors, and anticoagulants preparations involves chromatography [31,32].

### 3.2. Experimental prion clearance studies

Studies have been carried out to evaluate the clearance of prions taking place during plasma fractionation. These studies are difficult, time-consuming, and expensive. Important factors in their design include the choice of the TSE strains and of the tissue used for spiking, the type of infectivity assay, and—as for viral validation studies [7,33]—the validity of the scale-down process to mimic the large-scale manufacture conditions. Since spiking agents may have different partitioning properties, process clearance is often evaluated using spikes exhibiting different biophysical properties [34]. TSE strains used in exogenous spiking experiments include (a) hamster-adapted scrapie (strains 263K [35], Sc237 [34] or ME7 [36]), (b) murine-adapted BSE, 301 V [37] and (c) strains of human CJD, vCJD, sporadic CJD (sCJD) and GSS [38]. Endogeneous studies have used murine-adapted GSS, Fukuoka-1 strain [39], hamster-adapted scrapie, strain 263K and murine-adapted



BSE strain 301 V [40]. Two approaches are available to assess infectivity. Bioassays, which detect infectivity in rodent models [28,37,39,41], are the current “gold standard”, but they have limitations linked to the limited availability of specialized animal facilities, their time-consumption, or the inadaptability for evaluation of process robustness [42]. Immuno-chemical determination of PrP<sup>TSE</sup> is done either by Western blotting [35,43] or, less frequently, conformation-dependent immunoassay (CDI) [34], after digestion with proteinase-K. Immuno-chemical assays are rapid and relatively cheap, and are useful for an evaluation of clearance. Good correlation between both types of assays have been found [41,44]. Tissue culture infectivity assays (TCIA) may be new promising alternatives to animal assays. Sensitivity is equivalent to animal assay and almost 100 times more than the WB. Protein misfolding cyclic amplification (PMCA) which allows autocatalytic replication of minute quantities of infectious prions, is claimed to provide over 4000-times more sensitivity than the animal bioassay [45] and, if validated, may be of interest for clearance studies in the future.

### 3.3. Results of experimental clearance studies

#### 3.3.1. Coagulation factors

Coagulation factor concentrates are prepared from plasma intermediates generated early in the fractionation process and could be, in principle, at higher risks of contamination by prions. Significant efforts have, understandably, been made to study TSE infectivity removal capacity of chromatographic purification and nanofiltration steps, which are commonly used to manufacture these products.

**3.3.1.1. Chromatography.** Table 2 summarizes clearance data obtained during chromatography. Various spikes have been used; assays included in vitro immunochemical methods and animal bioassays. Anion-exchange chromatography on DEAE-Toyopearl 650M, as used in the purification of FVIII and fibrinogen [46], and on DEAE-Sepharose, as used for FIX [47], contributes to a significant removal (typically in the 2 to >3 logs range) of spiked TSE agents [35,42,48,49]. Upstream SD treatment of the cryoprecipitate extract did not impact prion removal [50]. Immobilized heparin affinity chro-

matography of FIX [47] removed 1.4 log<sub>10</sub> of PrP<sup>TSE</sup> [35], and S-Sepharose cation-exchanger during thrombin purification removed 2.9 log<sub>10</sub> [35], and similar removal has been reported by monoclonal antibody chromatography [42]. The fact that consistent prion clearance factors are found in processes using chromatographic resins of different chemical structures and substitutions, and under different buffer systems, supports the occurrence of non-specific binding of the infectious agent onto the chromatographic support surface. Although prion removal appears reproducible, incomplete understanding of the removal mechanism raises questions, such as how to (a) determine the maximum capacity of chromatographic support to bind TSE agents, (b) ensure efficient sanitizing procedures of recycled gels, and (c) guarantee consistent prion removal over production cycles.

**3.3.1.2. Nanofiltration.** Nanofiltration is a proven, dedicated method using nm-membranes that are permeable to proteins but retain infectious agents (viruses) by size-exclusion partitioning [51,52]. Accumulating experimental evidence (Table 3) shows consistent removal of substantial doses of TSE agents spiked to plasma fractions through multi-layer filters with porosity of 75 nm or less [42]. The removal capacity of the larger pore-size nanofilters (75 and 35 nm) appears, as expected, somewhat influenced by the physico-chemical characteristics of the plasma fraction [53]. Removal of spiked scrapie agent ME7 by 15 nm nanofilters appears more robust and was not, in experimental studies, influenced by 0.9% sarkosyl [36].

#### 3.3.2. Albumin and IgG

Significant work has evaluated the extent of TSE infectivity removal during the backbone plasma fractionation process steps that generate the various plasma intermediates used to manufacture several products (Table 3).

**3.3.2.1. Cryoprecipitation.** In initial endogenous experiments that studied the fractionation of murine plasma from animals infected with a human TSE, the infectivity was found to precipitate predominantly into cryoprecipitate (and precipitate I+II+III) [39]. However, in exogenous studies where human blood was spiked with hamster-adapted scrapie 263K, only 0.7% of the initial infectivity was recovered in the cryoprecipi-

Table 2

Extent of TSE agent removal during chromatography of plasma-derived coagulation factors. Adapted from Refs. [41,43]

Processing step evaluated	End-product	Agent	Spike	Assays	Reduction factor
IEC (DEAE-Toyopearl 650M)	FVIII	263K	MF	WB	1.7 [42]
SD + IEC (DEAE-Toyopearl 650M)	FVIII	263K	MF	WB	3.1 [35]
SD + IEC (DEAE-Toyopearl 650M)	FVIII	BSE (strain 301V)	MF	Bioassay	2.7 [48]
IEC (DEAE-Toyopearl 650M)	vWF	263K	MF	WB	3.9 [42]
IEC (DEAE-Toyopearl 650M)	Fibrinogen	263K	MF	WB	3.8 [42]
SD+ IEC (DEAE-Toyopearl 650M)	Fibrinogen	263K	MF	WB	≥ 3.5 [35]
SD+ IEC (DEAE-Toyopearl 650M)	Fibrinogen	BSE (strain 301V)	MF	Bioassay	2.9 [48]
DEAE-cellulose	Prothrombin complex/FIX	263K	MF	WB	3.0 [35]
IEC (DEAE-Sepharose)	PCC	263K		WB	3.3 [61]
IEC (DEAE-Sepharose)	FIX	263K	MF	WB	3.0 [35]
Heparin-Sepharose	FIX	263K	MF	WB	1.4 [35]
S-Sepharose	Thrombin (fibrin glue)	263K	MF	WB	2.9 [35]

MF: microsomal fraction; WB: Western-blot

