

time, resulting in the introduction of an active TSE surveillance of these species by rapid BSE test pursuant to Regulation (EC) 999/2001. After the introduction of this intensive monitoring, the number of reported TSE cases in small ruminants markedly increased in nearly all member states.

In Germany, 0–3 cases of scrapie had been diagnosed for many years; the figure has risen to 31–119 individual animals with altogether 68 outbreaks of classical and atypical scrapie per year since 2002. In some cases, the disease could be detected in up to 56 animals of the same herd. In Germany, no TSE infection has been diagnosed thus far in any of the 12,000 goats tested since the beginning of the intensified monitoring. Regulation (EC) 999/2001 also laid down that each TSE case in small ruminants was to be tested by means of biochemical methods or animal experiments (“strain typing”). This measure serves to guarantee that a possible BSE infection in these small ruminant species would not remain undetected. Since the animal experimental methods used up to now mainly for scientific interest [11,12] are very time-consuming and costly, the samples are usually first tested by means of biochemical methods (analysis of the molecular weight, the glycosylation profile, and the antibody binding affinity of the accumulated pathological prion protein) [13–17]. So far, evidence of BSE infection in sheep has not been found in any of the 37 classical scrapie outbreaks in Germany [17], nor during the relevant tests performed in other member states. The cases of atypical scrapie were excluded from the strain typing, since this TSE type is clearly distinct from BSE [18]. Active surveillance in France, however, and subsequent strain typing tests gave clear evidence of a BSE infection in a goat that had been diagnosed with a TSE infection in 2002.

This first evidence that the BSE pathogen can cross the species barrier between cattle and small ruminants gave rise to special concern in expert circles; in small ruminants, the TSE pathogenesis clearly differs from that in cattle. In cattle, pathological prion protein and BSE infectivity remain strictly limited to the central nervous system and only become detectable immediately before the occurrence of clinical symptoms [19]. The combination of rapid testing of all beef cattle above a certain age (30 months in the EU, first 24 months which was raised to 30 months in June 2006 in Germany), in combination with the safe removal of SRM, thus presents an effective consumer protection measure. The situation is different in sheep, where the pathogen can be detected in various organ systems very soon after the infection, above all in the nervous and lymphatic systems [20–22]. It is therefore well possible that an animal testing negative in the rapid test of the brain stem has already accumulated disease-related prion protein and infectivity in other organs. Since, however, TSE pathogenesis in sheep depends on various factors, e.g. the PrP<sup>Sc</sup> genotype of the affected animal and the TSE strain, no uniform testing concept for this animal species would guarantee TSE detection at the earliest possible point in time after infection. Any BSE infection in small ruminants thus presents a potentially enhanced risk for the consumer as compared to the occurrence of the same disease in cattle.

Table 3  
Patients with vCJD worldwide and duration of stay in the UK

Country	Total number of cases (number alive)	Cases with cumulative residence in UK > 6 months during the period 1980–1996
UK	162 (6) <sup>a</sup>	162
France	20 (3)	1 <sup>b</sup>
Republic of Ireland	4 (1)	2
The Netherlands	2 (1)	0
USA	2 (0)	2
Canada	1 (0)	1
Italy	1 (0)	0
Japan	1 <sup>c</sup> (0)	0
Portugal	1 (1)	0
Saudi Arabia	1 (1)	0
Spain	1 (0)	0

<sup>a</sup> As of 7 August 2006 (<http://www.cjd.ed.ac.uk>).

<sup>b</sup> The person from France had traveled regularly to UK over more than 10 years since 1987.

<sup>c</sup> The person from Japan had resided in the UK for 24 days in the period 1980–1996.

## 5. The occurrence of vCJD

First described in the UK in 1996, vCJD can be distinguished from the classical forms of CJD both by its clinical and neuropathological characteristics [23–25]. The numbers of cases observed world-wide are shown in Table 3.

Out of the 162 confirmed or probable cases diagnosed in the UK, 156 patients have died, and in 112 cases the diagnosis was neuropathologically confirmed. One Chinese patient who died in Hong Kong had stayed in the UK for several years and is included in the UK cases. The number of vCJD deaths in the UK reached its peak in 2000 with 28 cases; then, the number of deaths due to vCJD dropped sharply through 2005. This development currently supports the hope that the epidemic has surpassed its peak in the UK. This assumption, however, is still unsafe due to the lack of knowledge about the disease, duration of the incubation period, and frequency of manifestation dependent on the genotype at codon 129 of the prion protein gene.

As of 28 July 2006, 20 cases have been diagnosed in France. The number of probable and confirmed vCJD cases in France has not shown any decline; 3 cases were diagnosed in 2004 and 6 cases in 2005.<sup>7</sup> Seventeen individuals in France have died of vCJD. The number of persons who died of probable or confirmed vCJD in the UK and in France up to 2005 is shown in Fig. 1 (status of the data UK: 3 March 2006, France: 28 February 2006).

It is assumed that vCJD is caused by the same pathogen as BSE in cattle. This is based on the geographic occurrence of BSE and vCJD, the biochemical similarity between BSE and vCJD associated prion proteins [26,27], the non-distinguishability of the pathogen strain typing (incubation periods in different mouse strains, lesion patterns in the brain) [28,29], induction of neuropathological changes in macaques after

<sup>7</sup> <http://www.invs.sante.fr/surveillance>

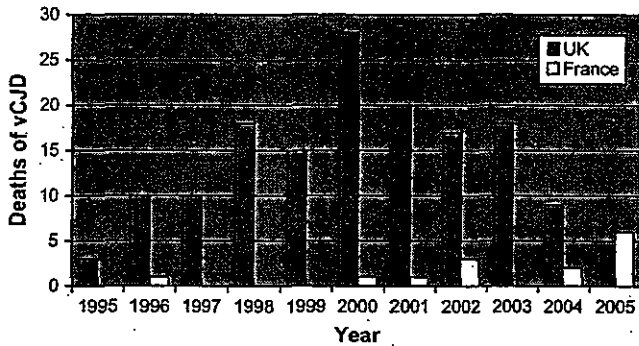


Fig. 1. Deaths caused by probable and confirmed vCJD in the UK and France from 1995 to 2005.

infection with BSE material very similar to those in vCJD patients [30], identical biological characteristics during transmission of BSE and vCJD material to transgenic mice [31], and comparable pathogen characteristics (e.g. lesion patterns, PrP<sup>Sc</sup> protein patterns) [32].

It is assumed that infection of humans occurs via the food chain by contaminated beef. It is highly likely that for food production, tissue of infected animals with high pathogen content, especially brain and/or spinal cord tissue, was used consciously or unconsciously. The route of infection from uptake of the pathogen in the gastro-intestinal duct via the N. vagus and the N. splanchnicus into the central nervous system could be shown experimentally [33]. A possible transmission of pathological prion by medicinal products, medical devices, and cosmetics containing bovine material seems to play at best a subordinate role, since the analysis of the vCJD cases up to now for possible risk factors did not reveal any suspicion.<sup>8</sup>

Concerning human to human transmission, there is currently no evidence for transmission of vCJD by transplants or other medicinal products derived from human material, e.g. plasma derivatives, albeit transmission by this route cannot be excluded in principle. However, three vCJD cases are seriously suspected to have been caused by blood transfusions (cf. Section 7).

Apart from this, there are no reports on iatrogenic vCJD infections worldwide, contrary to classical CJD, which was transmitted in more than 100 cases by pituitary (growth hormone, follicle stimulating hormone) and dura mater products. In isolated cases, infection by corneal transplantation and by reused surgical instruments (intracerebral electrodes) was reported [34]. The transmission risk was minimized by suitable measures (e.g. replacement of the pituitary extracts by recombinant products, critical selection of dura mater and cornea donors, treatment of the dura mater with sodium hydroxide solution, use of disposable instruments).

In contrast to the classical forms of CJD, however, vCJD patients have measurable pathogen content not only in the

central nervous system but also in peripheral tissues [35], especially in lymphatic tissues (tonsil, appendix, spleen). It is therefore conceivable that infection is possible in principle by reuse of instruments in general surgery, including flexible endoscopes. Recommendations for minimizing iatrogenic vCJD transmissions were put forth in April 2002 [36], with notes on the validation of decontamination [37], and the testing of new, instrument-compatible methods [38].

## 6. Estimation of the extent of the spread of vCJD

Mathematical models have been developed to assess the extent of the vCJD epidemic. Estimates would require sufficiently reliable information on relevant parameters, e.g. minimum infectious dose in the event of oral route of transmission, extent of consumption of contaminated beef, distribution of the incubation periods, and information on the susceptibility of the exposed population. These basic parameters are still not sufficiently known, and every model calculation is therefore inevitably fraught with uncertainties. As a rule, the models take into account the uptake of the pathogen via contaminated beef only. Since human to human transmission might add to the epidemic, infectivity of parenteral administration of the pathogen needs to be known. No estimates have so far been published on the portion of possible vCJD cases that follows this infection route.

Models developed to assess the vCJD epidemic in the UK initially assumed that only a portion of the population can contract the disease, based on the observation that clinical vCJD has developed only in individuals who are homozygous for methionine (M/M) at codon 129 of the prion protein gene. This applies to approx. 40% of the Caucasian population (Table 4) [39–43].

In each model, different incubation periods for vCJD (up to 60 years) and differing age-related susceptibilities were taken into account. The models improved with the increase of data on the actual progression of the epidemic. The estimated number of future vCJD cases in the UK caused by food of up to several million [44], could be revised first to 136,000 [45] and later to 7,000 [46].

Currently, the incubation period and degree of susceptibility of the exposed population are still uncertain. Above all, the polymorphism at codon 129 seems to play a role in individual susceptibility. While up to now all vCJD patients were homozygous M/M, in the year 2004 a transfusion recipient who was heterozygous at codon 129 (methionine/valine, M/V) was

Table 4  
Polymorphism of the prion protein gene in the general population, in CJD and vCJD patients

Individuals tested	M/M	M/V	V/V
General population	39–48%	42–50%	10–13%
Sporadic CJD	69–78%	12–15%	10–16%
vCJD <sup>a</sup>	100%	0%	0%

M: methionine, V: valine.

<sup>a</sup> Clinical vCJD cases.

<sup>8</sup> The National Creutzfeldt–Jakob Disease Surveillance Unit (UK): [www.cjd.ed.ac.uk](http://www.cjd.ed.ac.uk)

diagnosed with pathological prion in the lymphatic tissue. He died of rupturing aortic aneurysm 5 years after the transfusion without any evidence of a neurodegenerative disorder. This case suggests that individuals not homozygous for methionine at codon 129 can be infected. It remains unclear whether those heterozygous individuals would stay as a potential asymptomatic vCJD carrier, or develop the disease only with delay.

The existence of a possible “carrier status” is supported by a retrospective serial analysis of appendix and tonsil material in the UK, where 3 of 12,674 appendices tested revealed pathological prion [47,48]. Recently, the genotype of two of these individuals who had not developed vCJD at the time of the examination was sequenced (the third sample was not available for analysis) and found to be homozygous for valine at codon 129 of the prion protein gene [49]. Immunohistochemical examinations revealed a different prion distribution in two out of the three cases from that found in the lymphatic tissue of vCJD patients. It is currently unclear whether this might be indicative of the outcome of the disease. Methodological problems in the evaluation with regard to sensitivity and specificity might play a role. In addition, it must be borne in mind that the studied patients were not representative of the general population due to age distribution. If this random sample of histological examinations is used as a basis, and 100% sensitivity and specificity of the test used is assumed, the estimated prevalence of undetected vCJD infections per 1 million inhabitants in the UK would amount to 235 (49–692). This would mean a higher prevalence of vCJD than previously estimated on the basis of the decreasing figures of clinical cases.

The mathematical models were adapted to these new findings; wider genetic susceptibility and a possible carrier status were assumed for the disease. Taking into account the remaining uncertainties on the length of the incubation period, the estimated number of clinical vCJD cases by the year 2080 is 70 (10–190) based on the existing calculation model and a model for a carrier status [50], as opposed to 363 cases (no confidence interval indicated), based on a more pessimistic assumption. If the data on the examinations of the appendices [48] are taken into account, the estimation is 133 (32–3,780) cases [50].

The model published by Clarke and Ghani in 2005 provides estimates for the number of individuals with subclinical and preclinical infection with the vCJD pathogen [50]. The histological data of the appendices were taken into account in this assessment, and 50% sensitivity of the tests for subclinical infection was assumed. Based on these assumptions, a far greater number of individuals infected but without clinical manifestation (1,130–13,440) can be assumed. The number of these carriers and the question of whether they would be infective are important for possible iatrogenic transmission and may markedly influence the absolute number of future vCJD cases. Besides transmission by blood products, incomplete disinfection of surgical instruments might also play a part.

The above model calculations refer to the UK. For countries without or with only a small number of vCJD cases, the estimate is even more uncertain. The decisive parameter is the extent of exposure to food stuffs produced from infected beef. A synopsis of the peak incidence of the BSE epidemic in

various countries, as well as the assumed period of exposure to BSE (2001 report, endnote 1) clearly show that the extent of the BSE epidemic in the UK is a multiple of that of other countries, even if differences in the reporting criteria are taken into account. A risk of exposure for countries with no or only few BSE cases can only be estimated by the extent of imports of beef cattle from the UK within the relevant period of time. Fig. 2 shows imports of beef from the UK between 1990 and 1995.

The mathematical models from the UK on estimating the vCJD epidemic were used in Ireland and France, taking into account the actual situation in these countries. In Ireland, where four cases of vCJD have occurred up to now (two of them were residents of the UK for a considerable period of time), an estimation was performed on the basis of the model developed in the UK with adaptations for conditions in Ireland [51]. The estimation considers potentially contaminated Irish cattle, cattle imports from the UK, and the consumption of British beef during visits to the UK. This model, too, takes into account only the group of individuals who are homozygous for methionine at codon 129. It was estimated that 1–2 (0–46) more clinical cases of vCJD would occur in Ireland. Apart from the above limitations, the adapted model is suitable for performing estimation for countries with few or no cases of vCJD if the basic data are known. In France, 20 vCJD cases have been reported so far. In a current model calculation, also based on the epidemiological data from the UK, it was estimated that after 2004, another 33 vCJD cases (12 of them in 2004 and 2005) would occur [52]. The model calculation takes into account imports of British beef to France, beef consumption and travel to the UK. The estimate of the case numbers for France has decreased by two thirds compared with the previous forecasts from 2000 [53].

No case of vCJD has so far been diagnosed in Germany. Since the epidemiological situation in Germany is hence markedly different from that in the UK, and, in addition, the extent of exposure to potentially BSE contaminated beef cannot be accurately quantified, no primary data are available, allowing a valid use of models for estimating the incidence of primary vCJD cases in Germany. Based on estimates for France and Ireland, where only a few vCJD cases have been diagnosed, it can be assumed on the basis of the current state

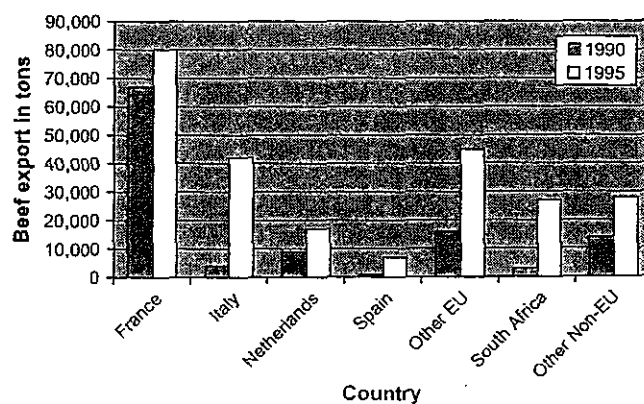


Fig. 2. Beef imports from the UK in tons.

of knowledge that only isolated cases of vCJD will occur in Germany.

### 7. Risk of vCJD transmission through blood (secondary infections)

Various approaches, such as animal experiments and epidemiological and case control studies, as well as the observation of individual cases, address the question of whether blood and blood products constitute a risk of vCJD transmission.

A number of experiments explore the possibility of prion transmission by blood and its components, with great variety of combinations of TSE agents and animal species [42,54,55]. Many results, however, must be interpreted with a number of restrictions. The investigational material of the donor animals (blood, serum, cells etc.) was usually given to the indicator animals by i.c. administration. This permits a more sensitive detection of the pathogen, but conclusions regarding i.v. administration are difficult. Secondly, many experiments were performed with animals infected in a “non-natural” manner, which makes the extrapolation of the corresponding results more difficult. In addition, the tests often involve a species barrier, which means a decrease in sensitivity.

Despite many contradictory results, the following can be concluded from small rodent experiments:

- In principle, infectivity can be detected in the blood of experimentally infected animals.
- Titers of infectious TSE agent in the blood of artificially infected animals were found to be very low (1–100 infectious units/ml) in sensitive detection systems. The question arises in some experiments as to what extent the detected infectivity really reflects replicated agent and not just residual inoculum.
- While in various experiments i.v. application has led more rarely to infection of the indicator animal than i.c. inoculation, in a recent experiment using mice infected with either mouse adapted strains of GSS, a special familial form of CJD, or vCJD, no major difference was found between i.v. and i.c. inoculation. Infectivity of approx. 20–30 IU/ml was found in the preclinical and the symptomatic phases 17 and 23 weeks after inoculation in both the buffy coat of the blood and in the plasma [56].

A transfusion experiment, where blood for transfusion was drawn from sheep in two series of experiments, pointed to a potential transmissibility of prion diseases by blood [57]. The donor sheep had been either orally infected by brain material from BSE infected cows or were sheep with particular genetic susceptibility for scrapie. After transfusion of whole blood or buffy coat of those donor animals that later died of the orally induced “BSE” or scrapie, definite TSE developed in a number of recipient animals. The identification of the pathogen confirmed transmission in this experiment. It must be noted that the recipient animals were genetically sensitive to TSE.

Formal retrospective epidemiologic studies and case control studies did not reveal any evidence of transmission of human TSEs by blood or blood products. In contrast to a number of viruses (HIV, HCV, HBV), no suspected case of transmission of classical CJD to a hemophilia patient has become known [58]. Since this disease can barely be overlooked in this well monitored group of patients, this is reassuring.

However, three case reports published in the UK demonstrate the possibility of vCJD transmission by transfusions. No available diagnostic system could prove or exclude vCJD transmission in any individual case. Joint consideration of the published cases, however, does not permit any other conclusion than transmissibility of the vCJD agent by blood transfusion. A monitoring system, the National CJD Surveillance Unit, was established in the UK in 1990, which, among other things, was designed to identify blood donors among vCJD patients and locate their donations. The recipients of these donations were observed, and in the event of their death appropriate tests including an autopsy with histopathological identification of the vCJD agent were performed. 15 of the vCJD patients who were diagnosed up to December 2003 had donated blood. They had donated a total of 55 labile blood products of which 48 had been transfused. At that time 17 living recipients were identified and monitored. This surveillance system has so far identified three cases: In 1996, a then 62-year-old patient received a total of 5 RBCC within one operation. One of these concentrates (non-leukocyte reduced) originated from a donation of a 24-year old individual who was healthy at the time of donation but died of confirmed vCJD in 2000 (3.5 years after the donation). The recipient developed symptoms 6.5 years after the transfusion and died of vCJD 13 months later; the diagnosis was confirmed by a post mortem [59]. Since the recipient lived in the UK, thus also exposed to a risk for contraction of vCJD via the food chain, transmission by transfusion could not be proven but was highly likely considering the low statistical probability of coincidence, i.e. an infection via the food chain not related to the transfusion, rated as 1:15,000–1:30,000. The second case was another elderly patient who received a non-leukocyte reduced RBCC from a donation of an individual who developed symptoms 18 months later and died of confirmed vCJD in 2001 [60]. The recipient died of a non-related cause (rupturing aortic aneurysm) 5 years after the transfusion without any signs of a neurologic-psychiatric disorder. Within the above-described surveillance, a post mortem was carried out. The vCJD agent was found by histopathological examination in the spleen and neck lymph nodes. The agent was obviously transmitted; however, an involvement of the CNS was not detectable—neither clinically nor histopathologically. In contrast to all previously identified vCJD patients, this patient was heterozygous M/V at codon 129. The infection was subclinical at the time of death; whether vCJD would have developed in this case must remain open. A third patient in the UK was reported in 2006 who developed symptoms of vCJD after receiving non-leukocyte depleted RBCC 7 years and 10 months before. The patient is a M/M homozygote at codon 129. The donor of the concentrate developed vCJD 21 months after the donation

[61,62]. A fourth probable case was announced in January 2007 ([http://www.hpa.org.uk/hpa/news/articles/press\\_releases/2007/070118\\_vCJD.htm](http://www.hpa.org.uk/hpa/news/articles/press_releases/2007/070118_vCJD.htm)).

As long as a blood test for vCJD does not exist, assessment of cases of suspected transmission would be possible only to a limited extent; this applies either if the individual affected by vCJD donated blood or had received transfusions of blood components. Recommendation 33 of the AK Blut (National Advisory Committee 'Blood'), 2006, provides guidance in this context [63]. A detailed statement regarding the safety of blood products in view of vCJD has recently been published by the Scientific Committee on Emerging and Newly Identified Health Risks of the EU Commission, SCENIHR [64].

Of crucial importance for the vCJD risk from transfusion is the number of individuals within a given population who are infected and may carry the agent in their blood. Histological evidence of prions in appendices [48] indicates a higher frequency of infection than previously estimated based on the occurrence of vCJD. As described above, one would now hypothesize that all individuals are susceptible to the vCJD agent, not only those homozygous for M/M, who represent 40% of the population. In another human TSE, Kuru, cases with extremely long incubation periods of up to 56 years have been reported, and all whose PrP gene could be analyzed were not M/M homozygotes [65]. Thus a higher number (maximally double) of infected individuals should be assumed than up to now. If this was conceivable for the British population, we would have to expect one subclinical case in roughly 4,000 people.

## 8. Reduction of TSE in the manufacture of blood products

In view of the limited knowledge, in assessing the effectiveness of methods the following partly speculative and pessimistic assumptions and remarks of reservation have to be made:

- The amount of infectivity in blood is estimated on the basis of data from animal experiments. The French authority AFSSAPS [66] had previously assumed as worst case scenario that infectivity in whole blood is 100 IU i.c./ml (infectious units/ml in case of intracerebral administration), and lower for intravenous inoculation at 10 IU i.v./ml (infectious units/ml in the case of intravenous administration). However, in primates survival rates after i.v. and i.c. inoculation were similar [29,67]. In addition, in recent comprehensive studies, 13.6 IU i.c./ml were measured in the blood of scrapie infected hamsters [68], and approx. 20 IU i.c./ml in the plasma of mice infected with adapted vCJD or GSS pathogens [56,69]. AFSSAPS now assumes an infectivity of 20 IU i.v./ml in the blood based on this new data. For leukocyte depleted plasma, a pathogen reduction by 50% is assumed, thus 10 IU i.v./ml in leukocyte depleted plasma instead of previously 1 IU i.v./ml plasma [66]. A study performed for the British Health Ministry (DNV-Consulting, 2003) [70] assumes a pathogen content of 10 IU

i.c./ml in the plasma and a 5-fold reduced infectivity in the case of i.v. inoculation, thus 2 IU i.v./ml.

- This is extrapolated to vCJD cases even though no infectivity has been found in their blood so far [71,72].
- There are no accurate data during which times infectivity could be present in the blood of individuals during the incubation period and the course of disease.
- The form of infectious prions (association with cells, monomers, multimers, aggregate, fibrils) in the blood of "naturally" infected creatures is unknown. Based on animal experiments [73], it had been assumed that 90% of the infectivity of whole blood would be present in the cellular fraction and 10% in the plasma. More recent studies [56], however, point to an approx. equal distribution of the amount of pathogen in the plasma and in the leukocyte fraction.

## 9. Blood components for transfusion, leukocyte depletion

Leukocyte depletion (LD) became compulsory (among other reasons) as a precautionary measure against a possible transmission of vCJD by blood components in various countries, including Germany. Treatment of whole blood ( $2.5 \times 10^9$  leukocytes/ml) results in a reduction of leukocytes by 3–4 log steps with residual numbers limited to  $10^6$  leukocytes per blood component. An experimental study has been conducted into the capacity of LD to remove the TSE pathogen using 500 ml blood of scrapie infected hamsters [74]. The concentration was reduced from 13.1 IU<sub>50</sub>/ml in whole blood to 7.6 IU<sub>50</sub>/ml, i.e. 42% of the pathogen were removed during leukocyte depletion. Since the actual pathogen concentration in human blood is unknown, it is difficult to assess to what extent this reduction would represent a gain in safety. No LD of RBCC was carried out in either of the three transmission cases. However, no conclusion may be drawn that such treatment of the components would have prevented transmission.

### 9.1. Red blood cell concentrates (RBCC)

In Germany, RBCC predominantly originate from whole blood donations. Before LD was enforced (October 2001), buffy coat-free RBCC were the standard preparations which, in an average volume of 250 ml, may contain up to  $1.2 \times 10^9$  leukocytes, according to the applicable national guideline [75] and the Council of Europe Recommendations. Even after the LD has become mandatory, a potential transmission risk of RBCC must be assumed.

### 9.2. Platelet concentrates (PC)

Eighty percent of the PC in Germany is manufactured from whole blood donations (WB-PC, e.g. buffy coat, usually pooled from 4–6 donations) and approx. 20% from apheresis (A-PC). Titers of approx. 10 IU/ml [56] were measured in the thrombocyte fraction of mice. Residual infectivity must be assumed even after 42% pathogen reduction by LD of the

whole blood. A preference for A-PC is not justified at present. Assessment of a residual infectivity is difficult since in apheresis high blood volume is processed and the behavior of vCJD infectivity in the apheresis system is difficult to predict.

### 9.3. Plasma for transfusion ("Fresh Frozen Plasma, FFP")

In Germany, quarantined plasma (Q-P) and solvent/detergent treated plasma (SD-P) are currently available. The market share of SD-P is approx. 10%. SD-P is manufactured by pooling approx. 700–1,200 individual donations. The volume for a unit of Q-P in Germany is approx. 230–280 ml, and for SD-P it is 200 ml.

In a previous assessment (2001 report, footnote 1), the content in cell free plasma had been estimated to be 1 IU i.v./ml; 250 ml of quarantined plasma would contain 250 IU i.v. cell free plasma. Two calculations had been made for SD-P:

- (a) Based on the assumption that infectivity is distributed homogeneously in the pool, 200 ml individual plasma containing approx. 200 IU i.v. (residual cells neglected, see above) would enter a pool; assuming a low number of 500 donations this would result in the dilution to 0.4 IU i.v. per plasma bag in the SD-P separated after treatment.
- (b) Based on the assumption that infectivity is in principle not evenly distributed in portions <1 IU i.v., an infectious donation containing 200 IU i.v. could be distributed to a maximum of 200 plasma bags, i.e. 200 of 500 SD-P would be infectious. Assuming 1 out of 120,000 donations were infectious (AFSSAPS, 2000) and a pool size of 500 donations, the risk would be 1 out of 240 SD-P batches. The risk of an infectious SD-P would thus be approx. 1 in 600 (240 times 2.5), which would be less favorable compared with 1:120,000 for Q-P from an individual donation.

Assuming 10 IU i.v./ml instead of previously 1 IU i.v./ml in the contaminated plasma donation [66], the risk becomes higher to the disadvantage of the pooled plasma. Based on this assumption, the above calculation (a) for a pool of 500 donations and 2,000 IU in a donation would result in an average burden of 4 IU in all plasma bags of a batch. If it was assumed that infectivity in principle is not distributed in units <1 IU i.v. (b), an infectious donation would contain 2,000 IU i.v. in 500 donations so that all 500 plasma bags from a pool of SD-P could be infectious. However, since these calculations contain many unknowns (e.g. reduction effects) and are based on unproven hypotheses, no recommendations are given here as to the preferred type of plasma.

Another question is whether infectivity in the plasma can be reduced by further measures. It has been considered to prepare plasma cell free to the greatest possible extent and to remove cell fragments by filtration through a membrane with appropriately small pores, an approach pursued in France. No experimental evidence is available on whether this could effectively reduce the infectivity of plasma. Furthermore, it

is not clear whether the quality of the plasma (e.g. activation of coagulation factors, neoantigen formation) might be impaired. Therefore, a decision in favor of introducing such membrane filtration seems currently premature.

## 10. Industrial products from pool plasma, nanofiltration

The evaluation of individual fractionation and inactivation steps in the manufacture of plasma derivatives (e.g. factor concentrates, immunoglobulins, albumin), regarding vCJD pathogens and the risk for the recipient is still fraught with uncertainties:

- Some assessments are based on the assumption that existing vCJD infectivity can be pushed below a presumably safe threshold dose by means of several dilution and reduction steps. It has not yet been determined whether an infectious threshold dose administered once would cause infection of the recipient, and whether several doses "below the threshold" would have a cumulative effect.
- Opinions are divided as to whether the size of the fractionation pool plays an important part (analogous with the SD-P):
  - Using a large pool, in case of possible contamination of the products a large number of recipients could be at risk. This would suggest that small pools would have to be used.
  - On the other hand, a freely distributed infectivity (e.g. if prion monomers were present) would be diluted considerably by pooling. Therefore, larger pools could present less risk.

For a reliable assessment of the influence of the pool size, more knowledge would be required on the infective dose in humans, the degree of aggregation of infectivity, its dispersibility, and the pathogen concentrations which can occur in the blood of asymptomatic donors. Calculations about the relation between pool size and transmission risk (Appendix (A) of [1]), assuming that the pathogen would behave like a virus, show that if a recipient requires life-long treatment, a reduction of the pool size would not contribute to minimizing the risk. The current situation is relatively heterogeneous for products on the German market, with different manufacturers, different countries of origin of the starting plasma, various import products and a great variability of the manufacturing methods.

### 10.1. Effectiveness of the plasma fractionation steps

Usually, infectious material from brains of scrapie or BSE infected hamsters or mice is used to assess the capacity of process steps to remove the vCJD pathogen. The question is to what extent such material is representative of the potential vCJD pathogen in human blood. In a comparative study, no differences in removal of PrP<sup>Sc</sup> were observed between material from the brain of humans who had developed vCJD, sCJD or GSS, and material from the brain of scrapie infected hamsters [76]. So far, no major differences of pathogen reduction